

## FW: Change Accounts from Non-Discretionary to Discretionary

|                           |                                                                                                                                            |
|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| <b>From</b>               | Jamie Rosenwald <jrosenwald@daltoninvestments.com>                                                                                         |
| <b>To</b>                 | James Benno Rosenwald <jamesbennor@gmail.com>, Lawrence Pollister <abe.pollister@icloud.com>, Steve Persky <spersky@daltoninvestments.com> |
| <b>Cc</b>                 | Anita Vora <avora@daltoninvestments.com>                                                                                                   |
| <b>Date</b>               | Wed, 27 Feb 2019 20:42:51 +0000                                                                                                            |
| <b>Subject</b>            | FW: Change Accounts from Non-Discretionary to Discretionary                                                                                |
| <b>Message-ID</b>         | <MWHPR11MB15198CF29C73F3877DA3B3A1CA740@MWHPR11MB1519.namprd11.prod.outlook.com>                                                           |
| <b>Source mailbox(es)</b> | BENNO; INBOX                                                                                                                               |

Dear Benno,

Please execute the attached Schwab account information so that I can invest the account on your behalf. Once executed, please send to Anita or Steve Persky.

If you would rather invest the money yourself it is already set up for you to do so.

Love,

Dad

---

**From:** Steve Persky  
**Sent:** Wednesday, February 27, 2019 10:53 AM  
**To:** Janet Hunpadongrat <jhunpa@daltoninvestments.com>; Jamie Rosenwald <jrosenwald@daltoninvestments.com>; Anita Vora <avora@daltoninvestments.com>; Stephanie Tran <stran@daltoninvestments.com>  
**Subject:** RE: Change Accounts from Non-Discretionary to Discretionary

Have Benno execute and scan back to me

Steven Persky

COO

Dalton Investments

424 231 9054

1601 Cloverfield Blvd., Ste 5050N

Santa Monica, CA 90404

---

**From:** Janet Hunpadongrat

**Sent:** Wednesday, February 27, 2019 9:55 AM

**To:** Steve Persky <[spersky@daltoninvestments.com](mailto:spersky@daltoninvestments.com)>

**Subject:** FW: Change Accounts from Non-Discretionary to Discretionary

Steve,

Do you know how to change accounts from non-discretionary to discretionary for the accounts at Schwab?

THanks,

**Janet Hunpadongrat, CPA**

**Vice President, Finance & Controller**

**Dalton Investments LLC**

1601 Cloverfield Boulevard - Suite 5050N

Santa Monica, CA 90404

**Phone:** +1 424 231 9072

**Fax:** +1 424 231 9050

---

**From:** Jamie Rosenwald

**Sent:** Wednesday, February 27, 2019 9:53 AM

**To:** Janet Hunpadongrat <[jhunpa@daltoninvestments.com](mailto:jhunpa@daltoninvestments.com)>; Anita Vora <[avora@daltoninvestments.com](mailto:avora@daltoninvestments.com)>; George Lerdsuwanrut <[george@daltoninvestments.com](mailto:george@daltoninvestments.com)>

**Subject:** Change Accounts from Non-Discretionary to Discretionary

Janet,

I would like you to change the following accounts from Non-Discretionary to Discretionary;

1. Laura P Rosenwald SEP-IRA Account Number: 4415-8455
2. Laura P Rosenwald 401-K PSP Account Number: 1358-8844
3. Jocelyn M Rosenwald SEP-IRA Account Number: 2045-7411
4. James B Rosenwald IV SEP-IRA Account Number: 1585-1975

I tried calling Schwab Mutual Fund Team today and they indicated that we need to change the accounts above to Discretionary or I will need to have the individuals on the phone to make trades.

Thank you,

jamie

---

**Attachments listed in message**

- jbr4 schwab lpoa feb 27 19.pdf — application/pdf — 0 bytes stored